



Central Provident Fund Board

79 Robinson Road CPF Building Singapore 068897
website: www.cpf.gov.sg CPF Call Centre: 1800-227-1188
Email address: investment@cpf.gov.sg Facsimile: 6229 3375

Application by FMC / Insurer for Inclusion under CPFIS

(This form may take you 10 minutes to complete.)

Name of Company :

Registered Address:

Operating Record

1. Date of 1st Issue of Capital Markets Services (CMS) Licence or equivalent:
(indicate the Authority/Country which issued the license)

2. Date joined IMAS:
(indicate whether as member or associate member)

3. No of years the Company or its related group of companies (whichever is longer) have managed funds:

___ Up to 3 years

___ >3 years to 5 years

___ >5 years to 10 years

___ >10 years

4. **Funds Managed**

Amount of funds managed in Singapore by the Company or its related group of companies over the last 5 years. (For leveraged funds, the leveraged portion should be excluded).

As at end of Year

S\$ million

<p>5. Projected amount of funds to be managed in Singapore by Company or its related group of companies for the next 5 years</p>		
	S\$ million	
200_ (current year)	_____	
200_	_____	
200_	_____	
200_	_____	
200_	_____	
<p>6. Amount of funds managed globally by the Company or its related group of companies over the last 5 years.</p>		
	S\$ million	
As at end of Year	_____	
_____	_____	
_____	_____	
_____	_____	
_____	_____	
<p><u>Fund Managers</u></p>		
<p>7. No of qualifying fund managers # : (Details to be given in Form CPFIS/FMC/2)</p>		
<p>8. <u>Financial Position</u></p> <p>Please provide the following information based on audited statements as of the latest Financial Year _____</p>		
	<u>Company</u>	<u>Group</u> *
Paid-Up Capital		
Shareholders' Funds		
Total Assets		
Total Liabilities		

Either have 5 years fund management experience or 3 years fund management experience, if have full CFA.
* Group means the Company and related companies (either a subsidiary of applicant OR is held by same holding company as applicant) engaged in fund management business.

9. **Regulatory Compliance**

Please state whether the Company or any entity in its group* of companies has been subjected to any disciplinary action by any regulatory authority in the last 5 years. If so, give details.

Other Information

- 10 . Please give illustrations of the Company's commitment to the fund management industry and/or investor education in Singapore. (Illustrations could include contribution to value added activities such as research and investment analysis, trade execution and settlement etc)

11 Describe how the Company would contribute to the development of the fund management industry and/or investor education in Singapore

I _____
(Name of CEO / Managing Director)

declare that all the information given in this application is true and correct and that the projections made are based on assumptions that are fair and reasonable and I firmly believe that the projections are attainable.

Signature of CEO / Managing Director _____

Date _____