



Central Provident Fund Board

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Application for Inclusion of Gold Exchange Traded Fund (ETF) under CPF Investment Scheme – Ordinary Account (CPFIS-OA)

This form may take you 10 minutes to complete

Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the application form. Please refer to our website for more information:
http://mycpf.cpf.gov.sg/Business-Partner/Gen-Info/CPFIS/bp_CPFIS.htm
2. All questions must be answered. If a question is not applicable, please indicate “N.A.” in the space provided.
3. Please tick (✓) the relevant boxes where appropriate.
4. The application process generally will take about 2 weeks, if no further clarification on information provided is required.
5. This form is to be submitted to CPF Board together with:
 - A cover letter on the applicant’s letterhead (either the legal adviser, lead manager or the company offering the Gold ETF);
 - \$200 application fee¹ made payable to “CPF Board” via cheque;
 - A copy of SGX’s eligibility-to-list (ETL) approval letter;
 - A copy of the gold ETF’s prospectus; and
Note:
 - (a) Please provide a draft copy if the finalised version is not yet available
 - (b) Both the local and foreign (domiciled country) versions of the prospectus are to be provided if the gold ETF is listed in multiple countries
 - For application as a local representative, company must also provide:
 - (a) A copy of the representative agreement evidencing the appointment of the representative by the foreign gold ETF issuer or marketing agent;
 - (b) Evidence of indemnity mechanism (such as back-to-back agreement) put in place between the representative and the foreign issuer/marketing agent, allowing the representative to have recourse to the foreign issuer/marketing agent if the latter does not discharge its duties, obligations and responsibilities with due care and in good faith; and
 - (c) A copy of its latest audited company annual report or financial statement

¹ The application fee paid is non-refundable.

1. (a) **Name of company seeking to include the gold ETF under CPFIS**

(b) **Company submitting the application is the gold ETF's** (please tick one)

Issuer **Marketing Agent** **Local Representative** ²

2. **Name of lead manager/legal adviser for the company**

3. **Please provide the following information on the gold ETF:**

(a) Name of the gold ETF: _____

(b) Domiciled country of gold ETF: _____

(c) Sponsor (if any): _____

(d) Trading currency³: _____

(e) Underlying assets (in allocated and unallocated form) of the gold ETF:

(f) Good Delivery rules for allocated gold: _____

(g) Foreign stock exchange listing (if any): _____

(h) Listing date (indicative): _____

4. **Please provide the following information if the company is applying as a local representative for a foreign gold ETF:**

(a) Has the company been subjected to any disciplinary action by a regulatory body in the past 3 years? If yes, please elaborate.

² Applicable to foreign gold ETF recognised by MAS.

³ All withdrawals and refunds under the CPFIS must be made in Singapore dollars (SGD). The offering company will need to make its own arrangement for the conversion of the foreign currency.

5. **Criteria for inclusion of gold ETF:**

- (a) The gold ETF is backed by physical gold that meets the London Good Delivery Rules issued by London Bullion Market Association (or other equivalent globally accepted standards);
- (b) The gold ETF is offered by a Singapore-incorporated company (for locally constituted gold ETF authorized by MAS) or through a local representative (for foreign gold ETF recognized by MAS);
- (c) The gold ETF is listed on the SGX; and
- (d) The company allows CPF investors, who have pre-registered with CPF Agent Banks, to attend their shareholders'/ unitholders' meetings (if any) as observers.

6. **Criteria for company applying as a local representative to offer foreign gold ETF:**

- (a) Must be a company incorporated in Singapore;
- (b) Must provide CPF Board a copy of the representative agreement evidencing the appointment of the representative by the foreign gold ETF issuer or marketing agent;
- (c) Provide evidence of indemnity mechanism (such as back-to-back agreement) put in place between the representative and the foreign issuer/marketing agent, allowing the representative to have recourse to the foreign issuer/marketing agent if the latter does not discharge its duties, obligations and responsibilities with due care and in good faith;
- (d) Have good regulatory compliance record in Singapore; and
- (e) Have sound financial position.

Declaration by CEO/ Director of Company

I hereby apply for the gold ETF offered/ marketed by my company to be included under the CPF Investment Scheme – Ordinary Account (CPFIS-OA). I declare that all the information furnished in this form are true and correct and that the gold ETF meets all the **Criteria for Inclusion** issued by the CPF Board.

Name of CEO/ Director: _____

Signature of CEO/ Director: _____

Date: _____