



Central Provident Fund Board

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Application for Inclusion of Recognised Fund under CPF Investment Schemes (CPFIS)

(This form may take you 10 minutes to complete.)

Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the application form.
2. All questions must be answered. If a question is not applicable, please mark "N.A." in the space provided.
3. Please tick (√) in the relevant boxes where appropriate.
4. All dollar amounts are to be stated in Singapore dollars (SGD) or equivalent SGD amount.
5. The application process generally will take 4 to 8 weeks¹.
6. This form is to be submitted to the CPF Board together with:

Checklist

- The application fee².
- List of investments of underlying CIS / sub-manager as at the date of the application. Please indicate which investments deviate from CPF Investment Guidelines (CPFIS)³.
- The Deed or Contract under which the Representative/Agent of the FMC has been appointed and confirmation that the Representative/Agent will be responsible for all submissions of returns or follow-ups related to the Proposed Fund under CPFIS⁴.
- Proposed compliance procedures to ensure that the proposed fund will be invested in accordance with the CPFIS.
- A letter of confirmation from the Manager that it does not retain cash rebates for its own account in its management of the proposed fund.

¹ Please refer to our CPFIS website at http://mycpf.cpf.gov.sg/Members/Gen-Info/FAQ/Investment/CPFIS_Fundmgt.htm for more information.

² Please refer to the application fee payable at: http://mycpf.cpf.gov.sg/Business-Partner/Gen-Info/CPFIS/CPFIS_AppFees.htm.

³ Refer to Table CPFIS/RF.

⁴ The Representative should be a corporate entity as proposed to, or registered with MAS under Section 287(2)(d) of the Securities and Futures Act and any amendments or modifications thereof. The Representative/Agent is responsible for ensuring compliance and timely submissions under the CPFIS Terms and Conditions for the Proposed Fund and may be the same person or may be a Singapore domiciled CPFIS-registered FMC or another corporate entity able to undertake the responsibilities. To furnish a copy of the Deed or Contract under which the Representative/Agent has been appointed by the FMC, if the Representative/Agent has yet to be registered with CPFIS.

1. **Name of Fund Management Company (FMC) included under CPFIS**
(if the FMC is domiciled outside Singapore and is related to a Singapore domiciled CPFIS-registered FMC, to state the names of both and the relationship)

2. **Name of Proposed Fund**

3. **Type of scheme offered under the Recognised Fund** (*please tick where applicable*)

- Equity Fund
- Fixed Income Fund
- Balanced Fund
- Money Market Funds
- Structured Product Fund
- Property Fund
- Others (please Specify) _____

4. **Describe the investment objective(s) of the scheme.**

5. **Amount of Discretionary Funds Managed in Singapore by FMC included under CPFIS.** (inclusive of funds managed in Singapore by the FMC's related group of companies.)

Date	Amount of Discretionary Funds Managed (S\$)
<i>(as at the end of the previous quarter)</i>	

6. Representative in Singapore/Agent Responsible for Compliance with CPFIS Terms and Conditions For the Proposed Fund

Name of Representative/Agent	
	Registered Office in Singapore
Address	
Contract No:	
Email Address	

If the application is to convert an existing CPFIS-registered Singapore feeder fund's underlying fund into the Proposed Fund, please complete (7) below. Otherwise, please go to (8).

7. Have there been material changes in the investment restrictions, mandate or the Manager etc, of the Proposed Fund, since approval was given for the Singapore feeder fund to be included under CPFIS?

- Yes (please attach annex(es) containing details of the changes)
- No

8. Please attach annex(es) containing the following details for each fund:

- a. Principal Place of Registration of Proposed Fund
- b. Principal Regulatory Authority of Proposed Fund
- c. Principal Place of Incorporation of Manager
- d. Number of years Manager has managed funds
- e. Name of Supervisory Authority of Manager

9. Deviation from CPF Investment Guidelines (CPFIG)⁵

Value as at the end of the most recent month (please specify date: _____)		
a.	Value of fund invested in deviating investment (S\$)	
b.	Value of fund (S\$)	
c.	Percentage of deviating investment ([a/b] %)	

10. State the currency denomination of the Proposed Fund.

⁵ Please provide details of deviating investment in the Table CPFIS/RF attached.
 Document Update Reference: [INV/SCC/12 October 2010/CPFIS~RF](#)

11. **Indicate whether there is intention to offer the fund for investment under:**

- CPFIS-Ordinary Account (CPFIS-OA) or/ and,
 CPFIS-Special Account (CPFIS-SA)⁶

12. **The Proposed Fund has been recognised by MAS**

- Yes. The Proposed Fund obtained recognition by MAS on _____
 No. The Proposed Fund has been/will be submitted to MAS for recognition on _____

13. **Indicate whether you would like to proceed with concurrent due-diligence of the fund by CPFIB's appointed investment consultant, while pending regulatory review of the Fund:**

- Yes. I understand that in the event that in-principle approval is not given, there would be no refund of the application fees paid once due diligence by CPFIB's appointed investment consultant has commenced.
 No. Please proceed with due diligence by CPFIB's appointed investment consultant only after in-principle approval following regulatory review has been given.

⁶ The fund can be included under CPFIS-SA only if the Proposed Fund is classified under one of the lower three tiers of the CPFIS Risk Classification System Table.

Declaration by CEO / Director of Company

I hereby apply for the Proposed Fund to be included under the CPF Investment Scheme (CPFIS).

I declare that all the information furnished in this form is true and correct and that the Proposed Fund would be able to comply with the *CPFIS - TERMS AND CONDITIONS FOR FUND MANAGEMENT COMPANIES*.

I also declare that the Proposed Fund, if included under CPFIS, will help CPF members enhance their savings through returns expected to exceed the prevailing CPF Ordinary Account (OA) or Special Account (SA) interest rates⁷ (if the Proposed Fund is included under SA), over a reasonable time horizon.

Name of CEO/ Director: _____

Signature of CEO / Director: _____

Date: _____

⁷ The Central Provident Fund Board currently pays a legislated minimum annual interest rate of 2.5% on the Ordinary Account and a guaranteed minimum annual rate of 4.0% on the Special Account. The CPF interest rate is based on the 12-month fixed deposit and month-end savings rates of the major local banks and it is revised quarterly. The interest is computed monthly, and is credited and compounded annually.

Investment in Deviating Instruments or Activities

(Please indicate internal policies/guidelines governing the fund (under the description column) against the corresponding MAS Code on CIS/CPFIG items as indicated in the table. A 'NIL' indicator should be made where there are no such policies and/or where there is an absence on deviation (under the % of deviation column)

Name of Proposed Fund _____

	Item	Description of Fund's investment policies (including FMC's internal policies) governing the respective items	Percentage of deviation (%)
1.	Deposits		
2.	Debt Securities		
3.	Single Party Limit		
4.	Gold		
5.	Derivatives (pls state if derivatives are used for hedging, EPM, tactical asset allocation or speculative purposes)		
6.	Unlisted Shares		
7.	Real Estate or Infrastructure Projects (excluding listed property trusts and listed property funds)		
8.	Short Selling		

	Item	Description of Fund's investment policies (including FMC's internal policies) governing the respective items	Percentage of deviation (%)
9.	Metals (other than gold) and Commodities and their Financial Derivatives		
10.	Securities Lending		
11.	Lending (other than by way of securities repurchase agreements and investment in debt securities)		
12.	Underwriting		
13.	Borrowing Limits		
14.	Requirement to divest in event of breach of CIS's restriction		
15.	Other Investment Restrictions		